

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	R. K. Yang et al.	Examiner:	Chan, Sing P.
Application No.:	10/074,272	Group Art Unit:	1791
Patent No.:	7,425,292		
Confirmation No:	4926	Docket:	1199-4 RCE II
Filed:	February 14, 2002	Dated:	November 10, 2010
For:	THIN FILM WITH NON- SELF-AGGREGATING UNIFORM HETERO- GENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM		

Mail Stop Post Issue  
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313

**NOTIFICATION OF CHANGE TO LARGE ENTITY STATUS  
PURSUANT TO 37 CFR § 1.27 (g)(2) AND CORRECTION OF ERROR IN  
CLAIMING SMALL ENTITY STATUS PURSUANT TO 37 CFR §1.28(c)**

Sir:

Applicant filed the above-referenced patent application claiming small entity status. The assertion of small entity status and the prior payments of fees as a small entity were made in good faith and were not made with any attempt to deceive the Office.

It has been discovered that this application incorrectly maintained small entity status after June 13, 2008, and that such status as a small entity was continued in error. Pursuant to 37

Application No.: 10/074,272  
 Change to large entity status  
 Docket No.: 1199-4 RCE II  
 Page 2

C.F.R. §1.28(c)(1), please accept this statement to correct the erroneously claimed small entity status.

Submitted herewith is an itemized statement of the deficiencies owed pursuant to 37

C.F.R. §1.28(c)(2), as follows:

<u>Fee Description</u>	<u>Date Paid</u>	<u>Fee Paid as Small Entity</u>	<u>Current Large Entity Fee</u>	<u>Deficiency Owed</u>
<u>Issue Fee Payment</u>				
Issue Fee	06/18/2008	\$720.00	\$1,510.00	\$790.00
Publication Fee	06/18/2008	\$300.00	\$300.00	\$0.00
<b>Total Fees Paid:</b>		\$1,020.00		
<b>Total Fees Due as Large Entity:</b>			\$1,810.00	
<b>Total Fees Due Herewith:</b>				\$790.00

A fee of \$790.00 is believed to be due with this submission. The Commissioner is hereby authorized to charge payment of the fees associated with this communication, or any additional fees, which may be due or credit any overpayment, to Deposit Account No. 08-2461. Such authorization includes authorization to charge fees for extensions of time, if any, under 37 C.F.R. § 1.17 and also should be treated as a constructive petition for an extension of time in this reply or any future reply pursuant to 37 C.F.R. § 1.136.

Pursuant to 37 C.F.R. §1.28(d), it is respectfully submitted that the deficiency payment authorized herewith provides notification of a loss of entitlement to small entity status for this

11/23/2011 DALLEN 00000017 002461 10074272  
 01 FC:1461 790.00 DA

Application No.: 10/074,272  
Change to large entity status  
Docket No.: 1199-4 RCE II  
Page 3

patent.

Please direct any questions regarding this submission to Applicant's undersigned  
attorney.

Respectfully submitted,

/Jon A. Chiodo/

Jon A. Chiodo, Esq.  
Registration No. 52,739  
Attorney for Applicant

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(973) 331-1700

<b>Electronic Acknowledgement Receipt</b>	
<b>EFS ID:</b>	8809999
<b>Application Number:</b>	10074272
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4926
<b>Title of Invention:</b>	THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM
<b>First Named Inventor/Applicant Name:</b>	Robert K. Yang
<b>Correspondence Address:</b>	Daniel A. Scola, Jr. HOFFMANN & BARON, LLP 6900 Jericho Turnpike - Syosset NY 11791 US 9733311700 -
<b>Filer:</b>	Jon Anthony Chiodo
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	1199-4
<b>Receipt Date:</b>	10-NOV-2010
<b>Filing Date:</b>	14-FEB-2002
<b>Time Stamp:</b>	15:30:15
<b>Application Type:</b>	Utility under 35 USC 111(a)

**Payment information:**

Submitted with Payment	no
<b>File Listing:</b>	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	change_in_entity_status.pdf	95859 a079d519f3483a7e9461c5b2bc10d79d058a7c7872	no	3
<b>Warnings:</b>					
<b>Information:</b>					
Total Files Size (In bytes):			95859		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>            If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>            If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>            If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					